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U.S. PTO

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DOC NO.: 21829/91 (EBC-007)
EXPRESS MAIL NO.: EL709321267US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY PATENT APPLICATION TRANSMITTAL FORM
(*only for new nonprovisional applications under 37 CFR 1.53(b)*)



ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231
BOX: PATENT APPLICATION

SIR:

Transmitted herewith for filing is the patent application (including Specification, Claims, and Abstract, 69 pages) of:

Inventors : **Zhong-Min Wei and Jay DeRocher**

For : **METHODS OF IMPROVING THE EFFECTIVENESS OF TRANSGENIC PLANTS**

***If a CONTINUING APPLICATION, please mark where appropriate and supply the requisite information below and in a preliminary amendment:*

☐ Continuation ☐ Divisional ☐ Continuation-In-Part (CIP)
of prior application Serial No.

Prior application information: Examiner :
Art Unit :

Enclosed are:

- ☐ _____ sheets of *[insert "informal" or "formal"] drawings.
- ☐ **Signed** Combined Declaration and Power of Attorney (_____ pages).
- ☐ **Copy** of **signed** Combined Declaration and Power of Attorney (_____ pages) from a prior application (1.63(d) (for continuation/divisional).
- ☐ **Signed** statement deleting inventor(s) named in prior application (_____ pages) (1.63(d)(2) and 1.33(b)).
- ☐ **Incorporation By Reference:** The entire disclosure of the prior application, from which a **copy** of the oath or declaration is supplied herewith, is considered as being part of the disclosure of the enclosed application and is hereby incorporated by reference therein.
- ☐ Assignment (_____ pages) of the invention to _____.
- ☐ Assignment Transmittal Letter.
- ☐ Certified copy of a foreign priority document.
- ☐ Associate power of attorney.
- ☒ Applicants claim small entity status. (See 37 CFR 1.27.)

- ☐ Preliminary Amendment (_____ pages).
- ☐ Information Disclosure Statement, form PTO-1449 (_____ pages) and _____ references.
- ☒ **UNSIGNED** Combined Declaration and Power of Attorney (2 pages).
- ☒ Statement in Accordance with 37 CFR § 1.821(f) and computer readable 3.5" Diskette.
- ☒ Sequence Listing (17 pages).
- ☒ A self-addressed, prepaid postcard acknowledging receipt.
- ☐ Other:

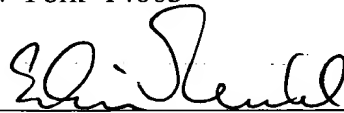
The Filing fee has been calculated as shown below:

(Col. 1)		(Col. 2)	SMALL ENTITY		OR	LARGE ENTITY	
FOR:	NO. FILED	NO. EXTRA	RATE	FEE		RATE	FEE
BASIC FEE	XXXXXXXXX	XXXXXXXXX	XXXX	\$355	OR	XXXX	\$710
TOTAL CLAIMS	74 - 20 =	54	x 9 =	\$486	OR	x 18 =	\$
INDEP CLAIMS	6 - 3 =	3	x 40 =	\$120	OR	X80 =	\$
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED			x135 =	\$	OR	x270 =	\$
*If the Total Claims are less than 20 and Indep. Claims are less than 3, enter "0" in Col. 2			TOTAL	\$961	OR	TOTAL	\$

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- ☒ A check in the amount of **\$961.00** to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1138. **A duplicate copy of this sheet is enclosed.**
- ☒ Address all future communications to:

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Date: June 13, 2001


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Rochester, New York 14603
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EXPRESS MAIL CERTIFICATE

DOCKET NO.: **21829/91 (EBC-007)**

APPLICANTS: **Zhong-Min Wei and Jay DeRocher**

TITLE: **METHODS OF IMPROVING THE EFFECTIVENESS OF
TRANSGENIC PLANTS**

Certificate is attached to the **Utility Patent Application Transmittal Form (2 pages)**
of the above-named application.

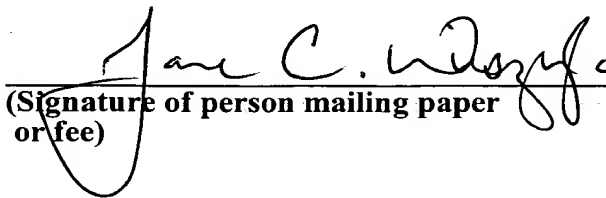
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Jane C. Wirszyla

(Typed or printed name of person
mailing paper or fee)



(Signature of person mailing paper
or fee)

EXPRESS MAIL CERTIFICATE

DOCKET NO.: 21829/91 (EBC-007)
APPLICANTS: Zhong-Min Wei and Jay DeRocher
TITLE: METHODS OF IMPROVING THE EFFECTIVENESS OF
TRANSGENIC PLANTS

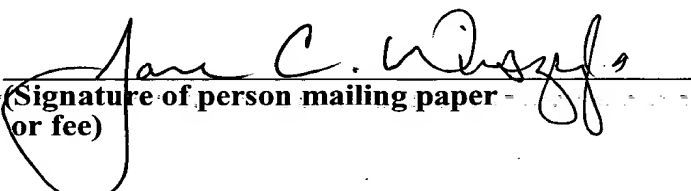
Certificate is attached to the **Statement in Accordance with 37 CFR § 1.821(f)**
(1 page) and computer readable 3.5" diskette of the above-named application.

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Jane C. Wirszyla
(Typed or printed name of person
mailing paper or fee)


(Signature of person mailing paper
or fee)

EXPRESS MAIL CERTIFICATE

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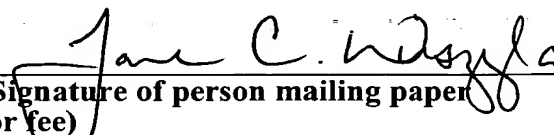
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Jane C. Wirszyla
(Typed or printed name of person
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or fee)